

15992 U.S. PTO

022004
EL395089485US

TRANSMITTAL OF UTILITY PATENT APPLICATION FOR FILING

Certification under 37 CFR 1.10 (if applicable)

February 20, 2004

"Express Mail" mailing label number

Date of Deposit

I hereby certify that this Transmittal letter, enclosed application, and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Cedric Rodgers

Typed or printed name of person mailing application

(Signature of person mailing application)

MAIL STOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Attorney Docket No. FPO-CE-24016 (108470-1)Customer Number 002387

Sir:

Transmitted herewith for filing is the utility patent application of inventor(s):

Masao Shoji

and entitled: **ILLUMINATION APPARATUS**

1. Type of Application

This application is:

- ☒ an original (non-provisional) application
- ☐ a divisional of prior application Serial No. _____
- ☐ a continuation of prior application Serial No. _____
- ☐ a continuation-in-part of prior application Serial No. _____
- ☐ The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ This application is assigned to: _____
- ☒ Certified Copy of priority application: Japanese Application No. 2003-047790 filed on February 25, 2003.

2. Enclosed application Elements are:

- ☒ A duplicate copy of this transmittal letter,
- ☒ specification (including claims and abstract) containing 15 pages.
- ☒ drawings: ☒ 1 copy of nine (9) sheets of formal drawings, **OR**
☐ 1 copy of sheets of informal drawings.
- ☒ an executed declaration or oath for the utility patent application including a power of attorney, **OR**
- ☐ an unexecuted declaration or oath for the utility patent application including a power of attorney, **OR**
- ☐ a copy of an executed declaration or oath for the utility patent application including a power of attorney
- ☐ statement deleting inventor(s) named in the priority application

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3. Enclosed Accompanying Application Parts are:

- ☒ This application is entitled to small entity status.
- ☐ Copy of verified statement filed in prior application; status still proper and desired.
- ☐ Preliminary Amendment
- ☐ Claim cancellation are indicated in Preliminary Amendment
- ☒ one itemized, stamped, and self-addressed postcard for the PTO Mail Room date stamp.
- ☒ Information Disclosure Statement including Form PTO-1449 and copies of citation therein.

4. Filing Fees (as calculated below)

	(Col. 1)	(Col. 2)		
For	Number Filed	Number Extra	Rate	Fee
Basic Fee				\$ 770.00
Total Claims	7	0	x \$18 =	\$ 0
Independent Claims	1	0	x \$86 =	\$ 0
Multiple Dependent Claim Presented (if applicable)			+ \$290 =	\$
Subtotal				\$ 770.00
Reduction by 50% for filing small entity				\$ 385.00
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2. TOTAL				\$ 385.00

- ☐ Please charge my Deposit Account No. 15-0508 in the amount of \$ _____.
- ☒ A check in the amount of \$ 385.00 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ _____ to cover the filing fee will be submitted in Response to the Notice of Missing Parts.
- ☒ The Commissioner is hereby authorized to charge payment of the following amounts associated with this communication or credit any overpayment to Deposit Account No. 15-0508.
- ☒ Additional filing fees under 37 C.F.R. 1.16 or deficiencies in remittances therefor.
- ☒ Additional processing fees under 37 CFR 1.17 or deficiencies in remittances therefor.
- ☒ **ONLY if applicant has partially paid** the patent issue fee under 37 C.F.R. §1.18, then the **deficiency** shall be charged to Deposit Account No. 15-0508, and the Commissioner is authorized to so charge the Deposit Account.

Date: February 20, 2004

 Attorney's Signature Seymour Rothstein
 Name and Registration No. Seymour Rothstein (Reg. No. 19,369)

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